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Date: MARCH 1, 2006

Attorney Docket No.: 02902/000G377-US0

**FAX TRANSMISSION COVER SHEET**

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**TO: UNITED STATES PATENT AND TRADEMARK OFFICE**

Examiner: SIEGFRIED CHENCINSKI

Group Art: 3747

Paper(s) Being Transmitted: AMENDMENT IN RESPONSE TO EX PARTE QUAYLE REQUIREMENT

**COMMENTS:** The Amendment is in response to your telephone call of February 22, 2006, and is being faxed directly to you with the assurance that you will see that it is formally entered as agreed.

Number of Pages Being Transmitted (including cover): 11 (ELEVEN)

**CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 CFR 1.8**

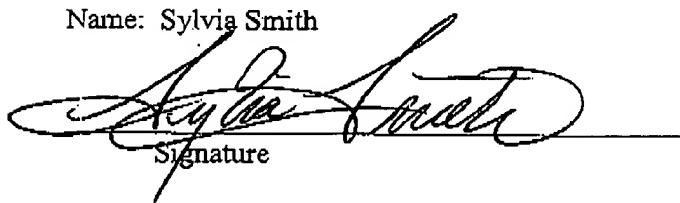
Serial No.: 09/537,372

Filed: MARCH 24, 2000

I hereby certify that the above papers are being facsimile transmitted to the United States Patent and Trademark Office on the date shown below.

Name: Sylvia Smith

Date: MARCH 1, 2006



Sylvia Smith  
Signature

Docket No.: 02902/000G377-US0  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Lloyd A. Groveman et al.

Application No.: 09/537,372

Confirmation No.: 3747

Filed: March 24, 2000

Art Unit: 3628

For: ACTIVE ACCOUNT MANAGEMENT USING  
VOLATILITY ARBITRAGE

Examiner: S. E. Chencinski

**AMENDMENT IN RESPONSE TO EX PARTE QUAYLE REQUIREMENT**

MS AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

In response to the Ex Parte Quayle requirement, this formal response is submitted to confirm the verbal agreement made between the examiner in charge of the above-identified patent application and the undersigned attorney. Please amend the application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 10 of this paper.

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